## Maximum Satisfiability Solving

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## Maximum Satisfiability

Maximum Satisfiability—MAXSAT
Exact Boolean optimization paradigm

- Builds on the success story of Boolean satisfiability (SAT) solving
- Great recent improvements in practical solver technology
- Expanding range of real-world applications

Offers an alternative to e.g. integer programming

- Solvers provide provably optimal solutions
- Propositional logic as the underlying declarative language: especially suited for inherently "Boolean" optimization problems


## Outline

1. Motivation and basic concepts
2. MaxSAT solving:

Practical algorithms for MAxSAT

## Success of SAT

The Boolean satisfiability (SAT) Problem
Input: A propositional logic formula $F$.
Task: Is F satisfiable?

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The Boolean satisfiability (SAT) Problem
Input: A propositional logic formula $F$.
Task: Is F satisfiable?
SAT is a Great Success Story
Not merely a central problem in theory:
Remarkable improvements since mid 90s in SAT solvers: practical decision procedures for SAT

- Find solutions if they exist
- Prove non-existence of solutions


## SAT Solvers

From 100s of variables and constraints (early 90s) up to 10 M variables and constraints. (21st century).


- kissat-2020
$\Delta$ maple-lcm-disc-cb-dl-v3-2019
+ maple-lcm-dist-cb-2018
$\times$ maple-lcm-dist-2017
$\checkmark$ maple-comsps-drup-2016
$\nabla$ lingeling-2014
abcdsat-2015
* lingeling-2013
$\oplus$ glucose-2012
$\oplus$ glucose-2011
(z precosat-2009
т cryptominisat-2010
* minisat-2008
© minisat-2006
- satelite-gti-2005
- rsat-2007

4 berkmin-2003

- zchaff-2004
- limmat-2002

Plot provided by Armin Biere

## SAT Solvers

From 100s of variables and constraints (early 90s) up to 10 M variables and constraints. (21st century).


Plot provided by Armin Biere
Core NP search procedures for solving various types of computational problems

## Optimization

Most real-world problems involve an optimization component Examples:

- Find a shortest path/plan/execution/...to a goal state
- Planning, model checking, ...
- Find a smallest explanation
- Debugging, configuration, ...
- Find a least resource-consuming schedule
- Scheduling, logistics, ...
- Find a most probable explanation (MAP)
- Probabilistic inference, ...


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High demand for automated approaches to finding good solutions to computationally hard optimization problems
$\rightsquigarrow$ Maximum satisfiability

## MaxSAT Applications

## Drastically increasing number of successful applications

- Planning, Scheduling, and Configuration
- Data Analysis and Machine Learning
- Knowledge Representation and Reasoning
- Combinatorial Optimization
- Verification and Security
- Bioinformatics
- Tens of new problem domains in MaxSAT Evaluations

This progress is much due to significant progress in efficient MaxSAT solvers.

## Progress in MaxSAT Solver Performance

Unweighted MaxSAT: Number x of instances solved in y seconds


Comparing some of the best solvers from 2010-2020:
In 2020: $81 \%$ more instances solved than in 2010!

- On same computer, same set of benchmarks:

576 unweighted MaxSAT Evaluation 2020 instances

## Basic Concepts

## MaxSAT: Basic Definitions

MaxSAT
INPUT: a set of clauses $F$.
(a CNF formula)
TASK: find $\tau$ s.t. $\sum_{C \in F} \tau(C)$ is maximized.

Find truth assignment that satisfies a maximum number of clauses
This is the standard definition, much studied in Theoretical Computer Science.

- Often inconvenient for modeling practical problems.


## Central Generalizations of MAxSAT

Weighted MaxSAT

- Each clause $C$ has an associated weight $w_{C}$
- Optimal solutions maximize the sum of weights of satisfied clauses: $\tau$ s.t. $\sum_{C \in F} w_{c} \tau(C)$ is maximized.


## Partial MAxSAT

- Some clauses are deemed hard-infinite weights
- Any solution has to satisfy the hard clauses
$\rightsquigarrow$ Existence of solutions not guaranteed
- Clauses with finite weight are soft

Weighted Partial MaxSAT
Hard clauses (partial) + weights on soft clauses (weighted)

## MaxSAT: Example

## Shortest Path

Find shortest path in a grid with horizontal/vertical moves.
Travel from S to G .
Cannot enter blocked squares.

| $\mathbf{n}$ | $\mathbf{o}$ |  | $\mathbf{p}$ | $\mathbf{q}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{h}$ | $\mathbf{i}$ | $\mathbf{j}$ | $\mathbf{k}$ | $\mathbf{G}$ |
| $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ | $\mathbf{l}$ | $\mathbf{r}$ |
| $\mathbf{a}$ |  | $\mathbf{f}$ |  | $\mathbf{t}$ |
| $\mathbf{S}$ | $\mathbf{b}$ | $\mathbf{g}$ | $\mathbf{m}$ | $\mathbf{u}$ |

## MaxSAT: Example

- Note: Best solved with state-space search
- Used here to illustrate how MaxSAT solving algorithms work and differ


## MaxSAT: Example

| $\mathbf{n}$ | $\mathbf{o}$ |  | $\mathbf{p}$ | $\mathbf{q}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{h}$ | $\mathbf{i}$ | $\mathbf{j}$ | $\mathbf{k}$ | $\mathbf{G}$ |
| $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ | $\mathbf{l}$ | $\mathbf{r}$ |
| $\mathbf{a}$ |  | $\mathbf{f}$ |  | $\mathbf{t}$ |
| $\mathbf{S}$ | $\mathbf{b}$ | $\mathbf{g}$ | $\mathbf{m}$ | $\mathbf{u}$ |

- Boolean variables: one for each unblocked grid square $\{S, G, a, b, \ldots, u\}$ : true iff path visits this square.


## MaxSAT: Example

| $\mathbf{n}$ | $\mathbf{o}$ |  | $\mathbf{p}$ | $\mathbf{q}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{h}$ | $\mathbf{i}$ | $\mathbf{j}$ | $\mathbf{k}$ | $\mathbf{G}$ |
| $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ | $\mathbf{l}$ | $\mathbf{r}$ |
| $\mathbf{a}$ |  | $\mathbf{f}$ |  | $\mathbf{t}$ |
| $\mathbf{S}$ | $\mathbf{b}$ | $\mathbf{g}$ | $\mathbf{m}$ | $\mathbf{u}$ |

- Boolean variables: one for each unblocked grid square $\{S, G, a, b, \ldots, u\}$ : true iff path visits this square.
- Constraints:
- The $S$ and $G$ squares must be visited: In CNF: unit hard clauses ( $S$ ) and ( $G$ ).
- A soft clause of weight 1 for all other squares:

In CNF: $(\neg a),(\neg b), \ldots,(\neg u) \quad$ "would prefer not to visit"

## MaxSAT: Example

- Need to force the existence of a path between $S$ and $G$ by additional hard clauses


## MaxSAT: Example

- Need to force the existence of a path between $S$ and $G$ by additional hard clauses

A way to enforce a path between $S$ and $G$ :

- both S and G must have exactly one visited neighbour
- Any path starts from S
- Any path ends at G
- other visited squares must have exactly two visited neighbours
- One predecessor and one successor on the path

| n | o |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | $G$ |
| c | d | e | l | r |
| a |  | f |  | $t$ |
| $S$ | b | g | m | $u$ |

## MAxSAT: Example

Constraint 1:
$S$ and $G$ must have exactly one visited neighbour.

| $\mathbf{n}$ | $\mathbf{o}$ |  | $\mathbf{p}$ | $\mathbf{q}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{h}$ | $\mathbf{i}$ | $\mathbf{j}$ | $\mathbf{k}$ | $\mathbf{G}$ |
| $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ | $\mathbf{l}$ | $\mathbf{r}$ |
| $\mathbf{a}$ |  | $\mathbf{f}$ |  | $\mathbf{t}$ |
| $\mathbf{S}$ | $\mathbf{b}$ | $\mathbf{g}$ | $\mathbf{m}$ | $\mathbf{u}$ |

## MAxSAT: Example

Constraint 1:
$S$ and $G$ must have exactly one visited neighbour.

- For $\mathrm{S}: a+b=1$
- In CNF:

$$
(a \vee b),(\neg a \vee \neg b)
$$

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |
| c | d | e | l | r |
| a |  | f |  | t |
| S | b | g | m | u |

## MaxSAT: Example

Constraint 1:
$S$ and $G$ must have exactly one visited neighbour.

- For $\mathrm{S}: a+b=1$
- In CNF:
$(a \vee b),(\neg a \vee \neg b)$
- For G: $k+q+r=1$
- "At least one" in CNF :
- "At most one" in CNF:

$$
\begin{array}{r}
(k \vee q \vee r) \\
(\neg k \vee \neg q), \quad(\neg k \vee \neg r),(\neg q \vee \neg r) \\
\text { disallow pairwise }
\end{array}
$$

| $\mathbf{n}$ | $\mathbf{o}$ |  | $\mathbf{p}$ | $\mathbf{q}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{h}$ | $\mathbf{i}$ | $\mathbf{j}$ | $\mathbf{k}$ | $\mathbf{G}$ |
| $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ | $\mathbf{l}$ | $\mathbf{r}$ |
| $\mathbf{a}$ |  | $\mathbf{f}$ |  | $\mathbf{t}$ |
| $\mathbf{S}$ | $\mathbf{b}$ | $\mathbf{g}$ | $\mathbf{m}$ | $\mathbf{u}$ |

## MaxSAT: Example

Constraint 2:
Other visited squares must have exactly two visited neighbours

- For example, for square $e$ :

$$
e \rightarrow(d+j+I+f=2)
$$

| n | o |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |
| c | d | e | l | r |
| a |  | f |  | t |
| S | b | g | m | u |

## MaxSAT: Example

Constraint 2:
Other visited squares must have exactly two visited neighbours

- For example, for square $e$ :

$$
e \rightarrow(d+j+I+f=2)
$$

- Requires encoding the cardinality constraint $d+j+I+f=2$ in CNF


## Encoding Cardinality Constraints in CNF

- An important class of constraints, occur frequently in real-world problems
- A lot of work on CNF encodings of cardinality constraints

| $\mathbf{n}$ | $\mathbf{o}$ |  | $\mathbf{p}$ | $\mathbf{q}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbf{h}$ | $\mathbf{i}$ | $\mathbf{j}$ | $\mathbf{k}$ | $\mathbf{G}$ |
| $\mathbf{c}$ | $\mathbf{d}$ | $\mathbf{e}$ | $\mathbf{l}$ | $\mathbf{r}$ |
| $\mathbf{a}$ |  | $\mathbf{f}$ |  | $\mathbf{t}$ |
| $\mathbf{S}$ | $\mathbf{b}$ | $\mathbf{g}$ | $\mathbf{m}$ | $\mathbf{u}$ |

## MaxSAT: Example

## Properties of the encoding

- Every solution to the hard clauses is a path from $S$ to $G$ that does not pass a
 blocked square.
- Such a path will falsify one negative soft clause for every square it passes through.
- orange path: assign 14 variables in $\{S, a, c, h, \ldots, t, r, G\}$ to true
- MaxSAT solutions:
paths that pas through a minimum number of squares (i.e., is shortest).
- green path: assign 8 variables in $\{S, b, g, f, \ldots, k, G\}$ to true


## MaxSAT: Complexity

Deciding whether $k$ clauses can be satisfied: NP-complete Input: A CNF formula $F$, a positive integer $k$.
Question:
Is there an assignment that satisfies at least $k$ clauses in $F$ ?

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Deciding whether $k$ clauses can be satisfied: NP-complete Input: A CNF formula $F$, a positive integer $k$.
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Is there an assignment that satisfies at least $k$ clauses in $F$ ?
MaxSAT is FPNP-complete

- Polynomial number of oracle calls
- A SAT solver acts as the NP oracle most often in practice


## Push-Button Solvers

- Black-box, no command line parameters necessary

```
mancoosi-test-i2000d0u98-26.wenf
p wcnf 18169 112632 31540812410
31540812410 -1 2 30
31540812410-4 2 30
31540812410-5 6 0
1817011330
181704570
... truncated 2.4 MB
```

DIMACS WCNF file format

- Output: provably optimal solution, or UNSATISFIABLE
- Complete solvers

Internally rely especially on CDCL SAT solvers for proving unsatisfiability of subsets of clauses

## Push-Button Solver Technology

Example: \$ openwbo mancoosi-test-i2000d0u98-26.wcnf
c Open-WBO: a Modular MaxSAT Solver
c Version: 1.3.1 - 18 February 2015
c | Problem Type: Weighted
c | Number of variables: 18169
c | Number of hard clauses: 94365
c ${ }^{\text {c }}$ Number of soft clauses: 18267
c | Parse time: 0.02 s

- 10548793370
c LB : 15026590
c Relaxed soft clauses 2 / 18267
c LB : 30053180
c Relaxed soft clauses 3 / 18267
c LB : 45079770
c Relaxed soft clauses 5 / 18267
c LB : 60106360
c Relaxed soft clauses 726 / 18267
c LB : 287486453
c Relaxed soft clauses 728 / 18267
o 287486453
c Total time: 1.30 s
c Nb SAT calls: 4
c Nb UNSAT calls: 841
s OPTIMUM FOUND
v 1-2 $345678-910111213141516$...
... -18167-18168-18169-18170


## MaxSAT Evaluations

https://maxsat-evaluations.github.io

## Objectives

- Assessing the state of the art in the field of MAXSAT solvers
- Collecting publicly available MAxSAT benchmark sets
- Various solvers from various research groups internationally participate each year
- Standard input format
- Tracks for both complete and incomplete solvers


## MAxSAT Solving: <br> Practical Algorithms for MAxSAT

## Types of MaxSAT Solvers

MaxSAT Solver
Practical implementation of an algorithm for finding (optimal) solutions to MaxSAT instances

Complete vs Incomplete MaxSAT Solvers

- Complete:

Guaranteed to output a provably optimal solution to any instance
(given enough resources (time \& space))

- "Incomplete":

Tailored to provide "good" solutions quickly (potentially) no guarantees on optimality of solutions

## Availability

Open Source
Starting from 2017, solvers need to be open-source in order to participate in MaxSAT Evaluations

- Incentive for openness
- Allow other to build on and test new ideas on establish solver source bases
https://maxsat-evaluations.github.io/


## Complete MAXSAT Solving

## Types of Complete Solvers

- Branch and Bound
- Can be effective on small-but-hard \& randomly generated instances
- SAT-based MAxSAT algorithms
- Model-improving
- Core-guided
- Implicit hitting set

Focus here

- Complete solvers: Core-guided \& implicit hitting set
- Incomplete: Combining different solving strategies


## Model Improving: Upper Bound Search for MaxSAT



## Model Improving: Upper Bound Search for MaxSAT



## Model Improving: Upper Bound Search for MaxSAT



## Model Improving: Upper Bound Search for MaxSAT

Can we unsatisfy less than $j(<k)$ clauses?


## Model-Improving MaxSAT Solving

- Model-improving can be very efficient when:
- The number of soft clauses is small
- The optimal solution corresponds to unsatisfying the majority of soft clauses
- Example of state-of-the-art solvers that use this algorithm:
- QMaxSAT
- Pacose
[Koshimura, Zhang, Fujita, and Hasegawa, 2012]
[Paxian, Reimer, and Becker, 2018]
- Also applied in incomplete MaxSAT solving - more on this later!
- Challenges:
- Constraint that restricts the UB grows with the number of soft clauses (weights of the soft clauses)


## Core-Guided MAXSAT Solving

## Lower Bound Search for MaxSAT



## Lower Bound Search for MaxSAT



## Lower Bound Search for MaxSAT



## Lower Bound Search for MaxSAT



## Lower Bound Search for MaxSAT



## Lower Bound Search for MaxSAT



## Unsatisfiability-based search for MaxSAT

- Simple idea:
- For $L B=0, \ldots$, query SAT solver on $H \wedge S \wedge$ CostLessThan(LB)
- Iterate until SAT solver reports satisfiable
- The first model found will be optimal.


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- The first model found will be optimal.
- Challenges:
- Incrementality, i.e. maintaining information across iterations
- Constraint that restricts the LB grows with the number of soft clauses (weights of the soft clauses)
- No existing solver uses this algorithm
- Alternatives:
- Change the refinement procedure to relax soft clauses lazily:
- Use unsat cores to only consider a subset of the soft clauses
- Constraint that restricts the LB will be much smaller
- Can scale to problems with millions of soft clauses


## Unsatisfiable subformula - UNSAT Cores

Formula:

$$
x_{1} \quad x_{3} \quad x_{2} \vee \neg x_{1} \quad \neg x_{3} \vee x_{1} \quad \neg x_{2} \vee \neg x_{1} \quad x_{2} \vee \neg x_{3}
$$

- Formula is unsatisfiable


## Unsatisfiable subformula - UNSAT Cores

Formula:

$$
x_{1} \quad x_{3} \quad x_{2} \vee \neg x_{1} \quad \neg x_{3} \vee x_{1} \quad \neg x_{2} \vee \neg x_{1} \quad x_{2} \vee \neg x_{3}
$$

- Formula is unsatisfiable
- Unsatisfiable subformula (core):
- $F^{\prime} \subseteq F$, such that $F^{\prime}$ is unsatisfiable
- Subset of soft clauses which together with the hard clauses constitute an unsatisfiable CNF formulas


## Unsatisfiable subformula - UNSAT Cores

Formula:

- Formula is unsatisfiable
- Unsatisfiable subformula (core):
- $F^{\prime} \subseteq F$, such that $F^{\prime}$ is unsatisfiable
- Subset of soft clauses which together with the hard clauses constitute an unsatisfiable CNF formulas

| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| $c$ | $d$ | $e$ | $l$ | $r$ |
| $a$ |  | $f$ |  | $t$ |
| $S$ | $b$ | $g$ | $m$ | $u$ |

$$
\begin{aligned}
& H=\{\ldots,(S),(S \rightarrow(a+b=1), \ldots\} \\
& \kappa=\{(\neg a),(\neg b)\} \subset S \\
& \text { SAT-SoLve }(H \wedge \kappa)=\text { UNSAT }
\end{aligned}
$$

## Core-Guided Algorithms

## Timeline



- Various different core-guided solvers proposed.
- Focus here on a high-level view on how to use cores:


## Core-Guided Algorithms

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- Relax cores together.


## Core-Guided Algorithms

## Timeline



- Various different core-guided solvers proposed.
- Focus here on a high-level view on how to use cores:
- Relax cores together.
- Relax cores separately.


## Relax Cores together - MSU3

Shortest Path
Intuition

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |
| c | d | e | l | r |
| a |  | f |  | t |
| S | b | g | m | u |

## Relax Cores together - MSU3

Shortest Path
Intuition

1. Check if $H \wedge S \wedge \operatorname{CostLessThan}(R, L B)$ is satisfiable

| n | $\bigcirc$ |  | p | q | $\mathrm{LB}=0, R=\{ \}$ <br> $\operatorname{SAT}-\operatorname{SOLVE}(\mathbf{H} \wedge \mathbf{S} \wedge \operatorname{CostLessThan}(\mathbf{R}, \mathbf{L B}))$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | 1 | r | is there a path that visits no nodes from the set $R$ ? |
| a |  | f |  | t |  |
| S | b | g | m | u |  |

## Relax Cores together - MSU3

Shortest Path

## Intuition

1. Check if $H \wedge S \wedge \operatorname{CostLessThan}(R, L B)$ is satisfiable
2. If it is unsatisfiable, then increase LB and update $R$

| n | o |  | p | q | $\mathrm{LB}=0, R=\{ \}$ |
| :---: | :---: | :---: | :---: | :---: | :--- |

## Relax Cores together - MSU3

## Shortest Path

## Intuition

1. Check if $H \wedge S \wedge \operatorname{CostLessThan}(R, L B)$ is satisfiable
2. If it is unsatisfiable, then increase LB and update $R$

| n | - |  | p | q | $\begin{aligned} & \mathrm{LB}=1, R=\{a, b\} \\ & \operatorname{SAT}-\operatorname{SOLVE}(\mathbf{H} \wedge \mathbf{S} \wedge \operatorname{CostLessThan}(\mathbf{R}, \mathrm{LB})) \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| c | d | e | I | $r$ | is there a path that visits at most 1 nodes from the set $R$ ? |
| a |  | f |  | t |  |
| S | b | g | m | u |  |

## Relax Cores together - MSU3

Shortest Path

## Intuition

1. Check if $H \wedge S \wedge \operatorname{CostLessThan}(R, L B)$ is satisfiable
2. If it is unsatisfiable, then increase LB and update $R$

| n | o |  | p | q | $\mathrm{LB}=1, R=\{a, b\}$ |
| :---: | :---: | :---: | :---: | :---: | :--- |

## Relax Cores together - MSU3

Shortest Path

## Intuition

1. Check if $H \wedge S \wedge \operatorname{CostLessThan}(R, L B)$ is satisfiable
2. If it is unsatisfiable, then increase LB and update $R$

| n | $\bigcirc$ |  | p | q | $\mathrm{LB}=\{\mathbf{2}, \ldots 5\}, R=\{a, b, c, g, \ldots\}$ <br> SAT-SOLVE ( $\mathbf{H} \wedge \mathbf{S} \wedge$ CostLessThan(R,LB)) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| c | d | e | 1 | r |  |
| a |  | f |  | t |  |
| S | b | g | m | u |  |

## Relax Cores together - MSU3

## Shortest Path

## Intuition

1. Check if $H \wedge S \wedge \operatorname{CostLessThan}(R, L B)$ is satisfiable
2. If it is unsatisfiable, then increase LB and update $R$
3. Otherwise, an optimal model $\tau$ has been found

| n | $\bigcirc$ |  | p | q | $\mathrm{LB}=\mathbf{6}, R=\{a, b, \ldots\}$ <br> SAT-SOLVE $(H \wedge S \wedge \operatorname{CostLessThan(~} R, L B)$ ) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| c | d | e | 1 | r |  |
| a |  | f |  | t | $\operatorname{cost}(\tau)=6$ |
| S | b | g | m | u |  |

## MSU3 Core-Guided Algorithm

## Summary

- MSU3 algorithm can be very efficient when:
- The size of the cores found at each iteration are small
- The optimal solution corresponds to satisfying the majority of soft clauses
- Example of state-of-the-art solvers that use this algorithm:
- Open-WBO
- Challenges:
- Constraint that restricts the LB grows with the size of cores
- Does not capture local core information


## MSU3

No Local Information:

- In the third iteration, we are asking for a path that satisfies $a+b+c+g \leq 2$

| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| $c$ | $d$ | $e$ | l | $r$ |
| $a$ |  | $f$ |  | $t$ |
| S | $b$ | $g$ | $m$ | $u$ |

## MSU3

No Local Information:

- In the third iteration, we are asking for a path that satisfies $a+b+c+g \leq 2$
- Based on the cores we know $a+b \leq 1$ and $c+g \leq 1$

| n | $\bigcirc$ |  | p | q | $\mathrm{LB}=\mathbf{2}, R=\{a, b, c, g\}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | 1 | $r$ | $\{(\neg a),(\neg b)\}$ is a core <br> $\rightarrow$ all paths go through a or b |
| a |  | f |  | t | $\{(\neg c),(\neg g)\}$ is a core <br> $\rightarrow$ all paths go through cor g |
| S | b | g | m | $u$ |  |

## MSU3

No Local Information:

- In the third iteration, we are asking for a path that satisfies $a+b+c+g \leq 2$
- Based on the cores we know $a+b \leq 1$ and $c+g \leq 1$

| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| $c$ | $d$ | $e$ | $l$ | $r$ |
| $a$ |  | $f$ |  | $t$ |
| $S$ | $b$ | $g$ | $m$ | $u$ |

## Alternative:

Relax each core separately

## Relaxing Cores Separately

Shortest path
Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$

| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| $c$ | $d$ | $e$ | $l$ | $r$ |
| $a$ |  | $f$ |  | $t$ |
| $S$ | $b$ | $g$ | $m$ | $u$ |

## Relaxing Cores Separately

## Shortest path

## Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable

| n | 0 |  | p | q | $\mathrm{LB}=0, i=0, \mathcal{K}=\emptyset$ <br> SAT-SOLVE $\left(\mathbf{H}^{\mathbf{i}} \wedge \mathbf{S}^{\mathbf{i}}\right)$ <br> is there a path that visits at most 1 node from each found core |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | 1 | $r$ |  |
| a |  | $f$ |  | t |  |
| S | b | g | m | u |  |

## Relaxing Cores Separately

Shortest path
Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable
3. If not, relax $H^{i}$ and $S^{i}$

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |
| L | $\mathrm{LB}=0, i=0, \mathcal{K}=\emptyset$ |  |  |  |
| $\operatorname{SAT}-\operatorname{SOLVE}\left(\mathrm{H}^{\mathrm{i}} \wedge \mathrm{S}^{\mathrm{i}}\right)$ |  |  |  |  |

## Relaxing Cores Separately

## Shortest path

## Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable
3. If not, relax $H^{i}$ and $S^{i}$

| n | 0 |  | p | q | $\begin{aligned} & \mathrm{LB}=1, i=1, \mathcal{K}=\left\{\kappa_{0}\right\} \\ & \operatorname{SAT}-\operatorname{SOLVE}\left(\mathbf{H}^{\mathbf{i}} \wedge \mathbf{S}^{\mathbf{i}}\right) \end{aligned}$ <br> is there a path that visits at most 1 node from each found core |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | 1 | $r$ |  |
| a |  | $f$ |  | t |  |
| S | b | g | m | u |  |

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Shortest path
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1. Initialise $H^{0}=H$ and $S^{0}=S$
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3. If not, relax $H^{i}$ and $S^{i}$

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| y | $\mathrm{LB}=1, i=1, \mathcal{K}=\left\{\kappa_{0}\right\}$ |  |  |  |

## Relaxing Cores Separately

## Shortest path

## Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable
3. If not, relax $H^{i}$ and $S^{i}$

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
|  | $\mathrm{LB}=2, i=2, \mathcal{K}=\left\{\kappa_{0}, \kappa_{1}\right\}$ |  |  |  |

## Relaxing Cores Separately

Shortest path

## Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable
3. If not, relax $H^{i}$ and $S^{i}$

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| h | L |  |  |  |
| $\mathrm{LB}=6, i=6, \mathcal{K}=\left\{\kappa_{0}, \kappa_{1}, \kappa_{2}, \kappa_{3}, \kappa_{4}, \kappa_{5}\right\}$ |  |  |  |  |

## Relaxing Cores Separately

Shortest path
Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable
3. If not, relax $H^{i}$ and $S^{i}$
4. Otherwise, the obtained model is optimal

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | q | | $\mathrm{LB}=6, i=6, \mathcal{K}=\left\{\kappa_{0}, \kappa_{1}, \kappa_{2}, \kappa_{3}, \kappa_{4}, \kappa_{5}\right\}$ |
| :--- |
| $\mathrm{SAT}-\operatorname{SOLVE}\left(\mathrm{H}^{\mathrm{i}} \wedge \mathrm{S}^{\mathrm{i}}\right)$ |

## Relaxing Cores Separately

## Shortest path

## Intuition

1. Initialise $H^{0}=H$ and $S^{0}=S$
2. For $i=0, \ldots$ check if $H^{i} \wedge S^{i}$ is satisfiable
3. If not, relax $H^{i}$ and $S^{i}$
4. Otherwise, the obtained model is optimal

| n | o |  | p | q | $\mathrm{LB}=6, i=6, \mathcal{K}=\left\{\kappa_{0}, \kappa_{1}, \kappa_{2}, \kappa_{3}, \kappa_{4}, \kappa_{5}\right\}$ |
| :--- | :---: | :---: | :---: | :---: | :--- |

## Separate Core relaxation

Summary

- First instantiated by Fu-Malik
- Other early instantiations in WBO, and WPM1
[Manquinho, Marques-Silva, and Planes, 2009; Ansótegui, Bonet, and Levy, 2009]
- Today, most solvers use OLL [Andres, Kaufmann, Matheis, and Schaub, 2012; Morgado, Dodaro, and Marques-Silva, 2014]


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- Today, most solvers use OLL [Andres, Kaufmann, Matheis, and Schaub, 2012; Morgado, Dodaro, and Marques-Silva, 2014]
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- Today, most solvers use OLL [Andres, Kaufmann, Matheis, and Schaub, 2012; Morgado, Dodaro, and Marques-Silva, 2014]
- Benefits:
- Encoding cardinality constraints into CNF is efficient since it only uses AtMost 1 constraints
- Challenges:
- Multiple cardinality constraints
- Extracting cores from reformulated formula can be exponentially harder


# Implicit Hitting Set Algorithms for MaxSAT 

[Davies and Bacchus, 2011, 2013b,a]

## Hitting Sets and UNSAT Cores

Hitting Sets
Given a collection $\mathcal{S}$ of sets of elements,
A set $h s$ is a hitting set of $\mathcal{S}$ if $h s \cap s \neq \emptyset$ for all $s \in \mathcal{S}$.
A hitting set $h s$ is optimal if no $h s^{\prime} \subset \bigcup \mathcal{S}$ with $\left|h s^{\prime}\right|<|h s|$ is a hitting set of $\mathcal{S}$.

## Hitting Sets and UNSAT Cores

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Given a collection $\mathcal{S}$ of sets of elements, A set $h s$ is a hitting set of $\mathcal{S}$ if $h s \cap s \neq \emptyset$ for all $s \in \mathcal{S}$.

A hitting set $h s$ is optimal if no $h s^{\prime} \subset \bigcup \mathcal{S}$ with $\left|h s^{\prime}\right|<|h s|$ is a hitting set of $\mathcal{S}$.

What does this have to do with MaxSAT?
For any MaxSAT instance $F$ :
for any optimal hitting set hs of the set of UNSAT cores of $F$, there is an optimal solutions $\tau$ to $F$ such that $\tau$ satisfies exactly the clauses $F \backslash h$.

## Hitting Sets and UNSAT Cores

Key insight
To find an optimal solution to a MAxSAT instance $F$, it suffices to:

- Find an (implicit) hitting set hs of the UNSAT cores of $F$.
- Implicit refers to not necessarily having all MUSes of $F$.
- Find a solution to $F \backslash h s$.


## Implicit Hitting Set Approach to MAxSAT

Iterate over the following steps:

- Accumulate a collection $\mathcal{K}$ of UNSAT cores
using a SAT solver
- Find an optimal hitting set hs over $\mathcal{K}$, and rule out the clauses in hs for the next SAT solver call using an IP solver
... until the SAT solver returns satisfying assignment.


## Implicit Hitting Set Approach to MaxSAT

Iterate over the following steps:

- Accumulate a collection $\mathcal{K}$ of UNSAT cores using a SAT solver
- Find an optimal hitting set hs over $\mathcal{K}$, and rule out the clauses in hs for the next SAT solver call using an IP solver
... until the SAT solver returns satisfying assignment.
Hitting Set Problem as Integer Programming

$$
\begin{aligned}
& \min \sum_{C \in \cup \mathcal{K}} c(C) \cdot b_{C} \\
& \text { subject to } \sum_{C \in K} b_{C} \geq 1 \quad \forall K \in \mathcal{K}
\end{aligned}
$$

- $b_{C}=1$ iff clause $C$ in the hitting set
- Weight function c: works also for weighted MaxSAT


## Implicit Hitting Set Approach to MaxSAT

"Best out of both worlds"
Combining the main strengths of SAT and IP solvers:

- SAT solvers are very good at proving unsatisfiability
- Provide explanations for unsatisfiability in terms of cores
- Instead of adding clauses to / modifying the input MaxSAT instance:
each SAT solver call made on a subset of the clauses in the instance
- IP solvers at optimization
- Instead of directly solving the input MaxSAT instance: solve a sequence of simpler hitting set problems over the cores


## Solving MaxSAT by SAT and Hitting Set Computations

## Input:

hard clauses $H$, soft clauses $S$, weight function $c: S \mapsto \mathbb{R}^{+}$


## Solving MaxSAT by SAT and Hitting Set Computations

## Input:

hard clauses $H$, soft clauses $S$, weight function $c: S \mapsto \mathbb{R}^{+}$

1. Initialize


## Solving MaxSAT by SAT and Hitting Set Computations

## Input:

hard clauses $H$, soft clauses $S$, weight function $c: S \mapsto \mathbb{R}^{+}$


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## Input:

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## Solving MaxSAT by SAT and Hitting Set Computations

## Input:

hard clauses $H$, soft clauses $S$, weight function $c: S \mapsto \mathbb{R}^{+}$
5. UNSAT core


## Solving MaxSAT by SAT and Hitting Set Computations

## Input:

hard clauses $H$, soft clauses $S$, weight function $c: S \mapsto \mathbb{R}^{+}$


## Solving MaxSAT by SAT and Hitting Set Computations

## Input:

hard clauses $H$, soft clauses $S$, weight function $c: S \mapsto \mathbb{R}^{+}$


## Solving MaxSAT by SAT and Hitting Set Computations

Intuition: After optimally hitting all cores of $H \wedge S$ by hs: any solution to $H \wedge(S \backslash h s)$ is guaranteed to be optimal.

```
iterate until "sat"
```



## MaxSAT by SAT and Hitting Set Computation

 Shortest Path
## Intuition

Hitting sets provide candidate paths.
Sat solver verifies the candidates.

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| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| K | $\mathrm{K}=\emptyset$ |  |  |  |
| $\mathrm{h}=\emptyset$ | j | k | G | $\mathrm{hs}=\emptyset$ |
| c | d | e | l | r |
| a |  | f |  | t |
| S | b | g | m | u |

## MaxSAT by SAT and Hitting Set Computation

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Hitting sets provide candidate paths.
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| n | $\bigcirc$ |  | p | q | $\begin{aligned} & \mathcal{K}=\emptyset \\ & h s=\emptyset \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | 1 | r | IP-solve $(\mathcal{K})=\emptyset$ |
| a |  | f |  | t |  |
| S | b | g | m | $u$ |  |

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| n | - |  | p | q | $\begin{aligned} & \mathcal{K}=\emptyset \\ & h s=\emptyset \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| c | d | e | 1 | r | SAT-Solve $(H \wedge(S \backslash h s))$ is there a path via no nodes? |
| a |  | f |  | t |  |
| S | b | g | m | u |  |

## MaxSAT by SAT and Hitting Set Computation

## Shortest Path

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Hitting sets provide candidate paths.
Sat solver verifies the candidates.

| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| $c$ | $d$ | $e$ | $l$ | $r$ |
| $a$ |  | $f$ |  | $t$ |
| $S$ | $b$ | $g$ | $m$ | $u$ |

$$
\begin{aligned}
& \mathcal{K}=\{\{(\neg q),(\neg k),(\neg r)\}\} \\
& h s=\emptyset \\
& \operatorname{SAT}-\operatorname{Solve}(H \wedge(S \backslash h s)) \\
& \text { is there a path via no nodes? }
\end{aligned}
$$

Result: UNSAT Core: $\{(\neg q),(\neg k),(\neg r)\}$ i.e. all paths go through $q, k$ or $r$

## MaxSAT by SAT and Hitting Set Computation

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Hitting sets provide candidate paths.
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| n | $\bigcirc$ |  | p | (9) | $\begin{aligned} & \mathcal{K}=\{\{(\neg q),(\neg k),(\neg r)\}\} \\ & h s=\{(\neg q)\} \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | 1 | $r$ | IP-solve $(\mathcal{K})=\{(\neg q)\}$ |
| a |  | f |  | t |  |
| S | b | g | m | u |  |

## MaxSAT by SAT and Hitting Set Computation

## Shortest Path

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Sat solver verifies the candidates.

| n | o |  | p | q |
| :---: | :---: | :---: | :---: | :---: |
| n | $\mathcal{K}=\{\{(\neg q),(\neg k),(\neg r)\}\}$ |  |  |  |

## MaxSAT by SAT and Hitting Set Computation

## Shortest Path

## Intuition

Hitting sets provide candidate paths.
Sat solver verifies the candidates.

| n | - |  | p | (9) | $\begin{aligned} & \mathcal{K}=\{\{(\neg q),(\neg k),(\neg r)\},\{(\neg a),(\neg b)\}\} \\ & h s=\{(\neg q)\} \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| h | i | j | k | G |  |
| C | d | e | I | r | $\operatorname{SAT}-\operatorname{Solve}(H \wedge(S \backslash h s))$ <br> is there a path that only goes through q? |
| a |  | f |  | t | Result: UNSAT Core: $\{(\neg a),(\neg b)\}$ |
| S | b | g | m | u | i.e. all paths go through a or b |

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Shortest Path

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| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| c | d | e | l | r |
| a |  | f |  | t |
| S | b | g | m | u |

$$
\begin{aligned}
& \mathcal{K}=\left\{\kappa_{1}, \ldots\right\} \\
& h s=\{(\neg a),(\neg c),(\neg d),(\neg e),(\neg l),(\neg r)\} \\
& \text { SAT-Solve }(H \wedge(S \backslash h s))
\end{aligned}
$$

is there a path that through $a, c, d, e, l, r$ ?

Result: SAT

$$
\begin{aligned}
& \tau=\{a, c, \ldots, r, \neg b, \neg h, \ldots, \neg q\} \\
& \operatorname{cost}(\tau)=6
\end{aligned}
$$

## MaxSAT by SAT and Hitting Set Computation

Shortest Path

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Hitting sets provide candidate paths.
Sat solver verifies the candidates.

| $n$ | $o$ |  | $p$ | $q$ |
| :---: | :---: | :---: | :---: | :---: |
| $h$ | $i$ | $j$ | $k$ | $G$ |
| $c$ | $d$ | $e$ | l | r |
| a |  | $f$ |  | $t$ |
| S | b | g | m | u |

## Note:

Termination requires computing the correct hitting set

```
hs ={(\nega),(\negc),(\negd),(\nege),(\neg/),(\negr)}
hs={(\nega),(\negg),(\negf),(\negi),(\negp),(\negq)}
hs={(\negb),(\negc),(\negm),(\negi),(\negj),(\negr)}
```引

\section*{Optimizations in Solvers}

Solvers implementing the implicit hittings set approach include several optimizations, such as
- a disjoint phase for obtaining several cores before/between hitting set computations,
combinations of greedy and exact hitting sets computations
[Davies and Bacchus, 2011, 2013b,a; Saikko, Berg, and Järvisalo, 2016]
- LP-solving techniques such as reduced cost fixing
[Bacchus, Hyttinen, Järvisalo, and Saikko, 2017]
- abstract cores
[Berg, Bacchus, and Poole, 2020]

Some of these optimizations are integral for making the solvers competitive.

\section*{Implicit Hitting Set}
- Effective on range of MaxSAT problems including large ones.
- Superior to other methods when there are many distinct weights.
- Usually superior to CPLEX.

\section*{Incomplete MaxSAT Solving}

\section*{Why Incomplete Solving?}
- Scalability
- Proving optimality often the most challenging step of complete algorithms
- Proofs of optimality not always necessary
- Finding good solutions fast

\section*{From Complete to Incomplete MaxSAT Solving}

Any-time algorithms
- Find intermediate (non-optimal) solutions during search.

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- Find intermediate (non-optimal) solutions during search.
- Simple example: model-improving algorithm

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- However: also most implementations of core-guided and IHS algorithms.

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- Essentially all complete solvers can be seen as incomplete solvers.

\section*{From Complete to Incomplete MaxSAT Solving}

Any-time algorithms
- Find intermediate (non-optimal) solutions during search.
- Simple example: model-improving algorithm
- However: also most implementations of core-guided and IHS algorithms.
- Essentially all complete solvers can be seen as incomplete solvers.

\section*{Central Question}

How to combine or improve the algorithms in order to obtain good solutions faster?

\section*{(Some of the) solvers in the latest evaluation}
\begin{tabular}{l|llllc} 
Solver & SLS & Model Improving & Core-Guided & SAT-based SLS & Other \\
\hline Loandra & & \(\times\) & \(\times\) & & \\
\begin{tabular}{l} 
StableResolver \\
TT-Open-WBO-Inc
\end{tabular} & \(\times\) & & & \(\times\) & \\
sls-mcs & \(\times\) & & \(\times\) & & \\
sls-Isu & & & \(\times\) & & \\
SATLike-c & \(\times\) & \(\times\) & \(\times\) & \(\times\) & \\
Open-WBO-Inc-complete & & \(\times\) & \(\times\) & & \\
Open-WBO-Inc-satlike & \(\times\) & & & &
\end{tabular}

\section*{(Some of the) solvers in the latest evaluation}
\begin{tabular}{|c|c|c|c|c|c|}
\hline Solver & SLS & Model Improving & Core-Guided & SAT-based SLS & Other \\
\hline Loandra & & X & X & & \\
\hline StableResolver & X & & & & X \\
\hline TT-Open-WBO-Inc & & & & \(\times\) & \\
\hline sls-mcs & \(x\) & & x & & \\
\hline sls-Isu & & & & & \\
\hline SATLike-c & x & \(x\) & X & & \\
\hline Open-WBO-Inc-complete & & X & X & & x \\
\hline Open-WBO-Inc-satlike & \(\times\) & & \(\times\) & x & \\
\hline
\end{tabular}

\section*{Take Home Message}

Effective incomplete solvers make use of several different algorithms.

\section*{Approaches to Incomplete MaxSAT}

\section*{Model-Improving Search}

How to improve the model-improving algorithm for incomplete search.
complete \& any-time
Core-Boosted search
Combine core-guided and model-improving search.
complete \& any-time
Stochastic Local Search (SLS)
Quickly traverse the search space by local changes to current solution
Improved with a SAT solver
incomplete

Model-Improving Search

\section*{Model-Improving Algorithm}

Shortest Path
Intuition
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & l & r \(=\infty\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline & f & b & g & \(m\) \\
\hline & u \\
\hline
\end{tabular}

\section*{Model-Improving Algorithm}

Shortest Path

\section*{Intuition}
1. Obtain a solution \(\tau^{*}\)
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & - & & p & q & \multirow[b]{2}{*}{\[
\begin{aligned}
& \mathrm{UB}=\infty \\
& \operatorname{SAT}-\operatorname{SOLVE}(\mathbf{H})
\end{aligned}
\]} \\
\hline h & i & j & k & G & \\
\hline c & d & e & I & \(r\) & \\
\hline a & & f & & t & \\
\hline S & b & g & m & u & \\
\hline
\end{tabular}

\section*{Model-Improving Algorithm}

Shortest Path
Intuition
1. Obtain a solution \(\tau^{*}\)
2. Update UB


\section*{Model-Improving Algorithm}

Shortest Path
Intuition
1. Obtain a solution \(\tau^{*}\)
2. Update UB
3. Improve \(\tau^{*}\) until \(\tau^{*}\) is proven to be optimal
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & \(\bigcirc\) & & p & q & \multirow[b]{2}{*}{\[
\begin{aligned}
& \mathrm{UB}=10 \\
& \operatorname{SAT}-\operatorname{SOLVE}(\mathbf{H} \wedge \operatorname{CostLessThan}(\mathbf{S}, \mathrm{UB}))
\end{aligned}
\]} \\
\hline h & i & j & k & G & \\
\hline c & d & e & 1 & \(r\) & \\
\hline a & & f & & t & \\
\hline S & b & g & m & \(u\) & \\
\hline
\end{tabular}

\section*{Model-Improving Algorithm}

Shortest Path
Intuition
1. Obtain a solution \(\tau^{*}\)
2. Update UB
3. Improve \(\tau^{*}\) until \(\tau^{*}\) is proven to be optimal
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & 0 & & \(p\) & q & \(\mathrm{UB}=8\) \\
\hline h & ; & j & k & G & \multirow[t]{2}{*}{SAT-SOLVE \((H \wedge \operatorname{CostLessThan}(S, U B))\)} \\
\hline c & d & e & 1 & \(r\) & \\
\hline a & & f & & t & \multirow[t]{2}{*}{\[
\begin{aligned}
& \tau^{2}=\{S, a, c, h, i, j, e, l, r, G, \neg b, \neg g, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{2}\right)=8
\end{aligned}
\]} \\
\hline S & b & g & m & u & \\
\hline
\end{tabular}

\section*{Model-Improving Algorithm}

Shortest Path
Intuition
1. Obtain a solution \(\tau^{*}\)
2. Update UB
3. Improve \(\tau^{*}\) until \(\tau^{*}\) is proven to be optimal
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & \(\bigcirc\) & & p & q & \multirow[b]{2}{*}{\[
\begin{aligned}
& \mathrm{UB}=8 \\
& \text { SAT-SOLVE }(\mathbf{H} \wedge \operatorname{CostLessThan}(\mathbf{S}, \mathrm{UB}))
\end{aligned}
\]} \\
\hline h & i & j & k & G & \\
\hline c & d & e & 1 & \(r\) & \\
\hline a & & f & & t & \\
\hline S & b & g & m & \(u\) & \\
\hline
\end{tabular}

\section*{Model-Improving Algorithm}

Shortest Path
Intuition
1. Obtain a solution \(\tau^{*}\)
2. Update UB
3. Improve \(\tau^{*}\) until \(\tau^{*}\) is proven to be optimal
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & 0 & & p & q & \(\mathrm{UB}=6\) \\
\hline h & i & j & k & G & \multirow[t]{2}{*}{SAT-SOLVE \((H \wedge\) CostLessThan \((S, U B))\)} \\
\hline \(c\) & d & e & 1 & \(r\) & \\
\hline a & & f & & t & \multirow[t]{2}{*}{\[
\begin{aligned}
& \tau^{3}=\{S, a, c, d, e, l, r, G, \neg b, \neg g, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{3}\right)=6
\end{aligned}
\]} \\
\hline S & b & g & m & u & \\
\hline
\end{tabular}

\section*{Model-Improving Incomplete Search}

\section*{Joshi et al. [2018]; Demirovic and Stuckey [2019]}

Key Challenges
- Encoding of CostLessThan \((S, U B)\) can be (and often is) large
- Especially with weights.

> Size depends on:
> number of soft clauses, diversity of weights, and UB

SAT-SOLVE \((H \wedge \operatorname{CostLessThan}(S, U B))\)

\section*{Model-Improving Incomplete Search}

\section*{Joshi et al. [2018]; Demirovic and Stuckey [2019]}

\section*{Key Challenges}
- Encoding of CostLessThan( \(S, U B\) ) can be (and often is) large
- Especially with weights.
- Proposed Improvements:
- Rescale weights.
\[
S=\left\{\left(C_{1}, 100\right),\left(C_{2}, 101\right),\left(C_{3}, 123\right),\left(C_{4}, 1205\right),\left(C_{5}, 1540\right),\left(C_{6}, 1260\right) \ldots\right.
\]

Divide by 100
\[
S=\left\{\left(C_{1}, 1\right),\left(C_{2}, 1\right),\left(C_{3}, 1\right),\left(C_{4}, 12\right),\left(C_{5}, 15\right),\left(C_{6}, 12\right) \ldots\right\}
\]

\section*{Model-Improving Incomplete Search}

\section*{Joshi et al. [2018]; Demirovic and Stuckey [2019]}

\section*{Key Challenges}
- Encoding of CostLessThan \((S, U B)\) can be (and often is) large
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- Proposed Improvements:
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\[
S=\left\{\left(C_{1}, 100\right),\left(C_{2}, 101\right),\left(C_{3}, 123\right),\left(C_{4}, 1205\right),\left(C_{5}, 1540\right),\left(C_{6}, 1260\right) \ldots\right.
\]
\[
S=\left\{\left(C_{4}, 2\right),\left(C_{5}, 3\right),\left(C_{6}, 2\right) \ldots\right\}
\]

\section*{Model-Improving Incomplete Search}

Joshi et al. [2018]; Demirovic and Stuckey [2019]

Key Challenges
- Encoding of CostLessThan \((S, U B)\) can be (and often is) large
- Especially with weights.
- Proposed Improvements:
- Rescale weights.
- Core-Boosted Search

\section*{Core-Boosted Search}

\section*{Core-Boosted Search}

Shortest path

\section*{Intuition}
1. Solutions to \(F=\left(H^{0}, S^{0}\right) \rightarrow\) shortest paths from \(S\) to \(G\)


\section*{Core-Boosted Search}

Shortest path

\section*{Intuition}
1. Solutions to \(F=\left(H^{0}, S^{0}\right) \rightarrow\) shortest paths from \(S\) to \(G\)
2. Solutions to \(F^{2}=\left(H^{2}, S^{2}\right) \rightarrow\) shortest paths from \(\kappa_{0}\) to \(\kappa_{1}\)
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & 0 & & \(p\) & q & \[
\mathrm{LB}=2, i=2, \mathcal{K}=\left\{\kappa_{0}, \kappa_{1}\right\}
\] \\
\hline m- & † & --5- & - -k & G & \[
\begin{aligned}
& \text { MAXSAT-SOLVE }\left(\mathbf{H}^{\mathbf{i}} \wedge \mathbf{S}^{\mathbf{i}}\right) \\
& \operatorname{cost}\left(F^{2}\right)=4
\end{aligned}
\] \\
\hline 1
\(¢\)
1 & d & e & \[
-1
\] & \(r\) & What is the length of the shortest path \\
\hline a & & f & & t & from a or b to \(q, k\), or \(r\) ? \\
\hline S & - - &  & - m - - & - & \\
\hline
\end{tabular}

\section*{Core-Boosted Linear Search}

In General

Solving: \(F\)


\section*{Core-Boosted Linear Search}

In General

Solving: \(F\)


\section*{Core-Boosted Linear Search}

In General

Solving: \(F\)


\section*{Core-Boosted search}

Example


\section*{Core-Boosted search}

\section*{Example}


\section*{Stochastic Local Search for MaxSAT}

\section*{SLS for MaxSAT}

Cai et al. [2016]; Luo et al. [2017]

Key challenges
- How to guarantee that solutions satisfy hard clauses?
- How to make use of the weights?

\section*{SLS for MaxSAT}

Cai et al. [2016]; Luo et al. [2017]

Key challenges
- How to guarantee that solutions satisfy hard clauses?
- How to make use of the weights?

Proposed solutions:
- Extend weights to all clauses

\section*{SLS for MaxSAT}

\section*{Key challenges}
- How to guarantee that solutions satisfy hard clauses?
- How to make use of the weights?

Proposed solutions:
- Extend weights to all clauses
- Initialize weight of all hard clauses to 1
- Flip literals from unsatisfied clauses with high weight.
- Periodically increase weights of clauses that are frequently unsatisfied.

\section*{SLS for MaxSAT}

\section*{Key challenges}
- How to guarantee that solutions satisfy hard clauses?
- How to make use of the weights?

Proposed solutions:
- Extend weights to all clauses
- Initialize weight of all hard clauses to 1
- Flip literals from unsatisfied clauses with high weight.
- Periodically increase weights of clauses that are frequently unsatisfied.
- Use a SAT solver to satisfy the hard clauses

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

Intuition
1. Obtain any solution \(\tau^{*}\)
\begin{tabular}{|c|c|c|c|c|c|}
\hline n & ○ & & p & q & \[
S=\{(\neg a),(\neg b),(\neg c), \ldots\}
\] \\
\hline h & i & j & k & G & \multirow[t]{2}{*}{\[
\begin{aligned}
& \mathrm{UB}=10 \\
& \tau^{*}=\{S, a, c, \ldots, G, \neg b, \neg l, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{*}\right)=10 \\
& \text { FIXED }=\emptyset
\end{aligned}
\]} \\
\hline c & d & e & 1 & r & \\
\hline a & & f & & t & \\
\hline S & b & g & m & u & \\
\hline
\end{tabular}

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & \(l\) & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\[
\begin{aligned}
& S=\{(\neg \mathbf{a}),(\neg b),(\neg c), \ldots\} \\
& \mathrm{UB}=10 \\
& \tau^{*}=\{S, a, c, \ldots, G, \neg b, \neg l, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{*}\right)=10 \\
& \text { FIXED }=\emptyset
\end{aligned}
\]

Current: \((\neg a) \quad \tau^{*}(\neg a)=0\)

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\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & \(l\) & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\[
\begin{aligned}
& S=\{(\neg \mathbf{a}),(\neg b),(\neg c), \ldots\} \\
& \mathrm{UB}=10 \\
& \tau^{*}=\{S, a, c, \ldots, G, \neg b, \neg l, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{*}\right)=10 \\
& \text { FIXED }=\emptyset \\
& \text { Current: }(\neg a) \quad \tau^{*}(\neg a)=0 \\
& \operatorname{SATSOLVE}\left(H \wedge \wedge_{c \in \text { FIXED }} C \wedge(\neg a)\right)
\end{aligned}
\]

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\[
\begin{aligned}
& S=\{(\neg \mathbf{a}),(\neg b),(\neg c), \ldots\} \\
& \mathrm{UB}=\mathbf{6} \\
& \tau^{*}=\{\mathbf{S}, \mathbf{b}, \mathbf{g}, \mathbf{f}, \mathbf{e}, \mathbf{I}, \mathbf{k}, \mathbf{G}, \neg \mathbf{a}, \neg \mathbf{c}, \neg \mathbf{d}, \ldots, \neg \mathbf{q}\} \\
& \operatorname{cost}\left(\tau^{*}\right)=\mathbf{6} \\
& \text { FIXED }=\{(\neg \mathbf{a})\}
\end{aligned}
\]

Current: \((\neg a) \quad \tau^{*}(\neg a)=0\) \(\operatorname{SATSOLVE}\left(H \wedge \wedge_{C \in \operatorname{FIXED}} C \wedge(\neg a)\right)\)

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\(S=\{(\neg \mathbf{b}),(\neg c),(\neg d), \ldots\}\)
\(\mathrm{UB}=6\)
\(\tau^{*}=\{S, b, g, f, e, l, k, G, \neg a, \neg c, \neg d, \ldots, \neg q\}\)
\(\operatorname{cost}\left(\tau^{*}\right)=6\)
\(\operatorname{FIXED}=\{(\neg a)\}\)

Current: \((\neg b) \quad \tau^{*}(\neg b)=0\)

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\[
\begin{aligned}
& S=\{(\neg \mathbf{b}),(\neg c),(\neg d), \ldots\} \\
& \mathrm{UB}=6 \\
& \tau^{*}=\{S, b, g, f, e, l, k, G, \neg a, \neg c, \neg d, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{*}\right)=6 \\
& \text { FIXED }=\{(\neg a)\}
\end{aligned}
\]

Current: \((\neg b) \quad \tau^{*}(\neg b)=0\)
\(\operatorname{SATSOLVE}\left(H \wedge \bigwedge_{c \in \operatorname{FIXED}} C \wedge(\neg b)\right)\)

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\[
\begin{aligned}
& S=\{(\neg \mathbf{b}),(\neg c),(\neg d), \ldots\} \\
& \mathrm{UB}=6 \\
& \tau^{*}=\{S, b, g, f, e, l, k, G, \neg a, \neg c, \neg d, \ldots, \neg q\} \\
& \operatorname{cost}\left(\tau^{*}\right)=6 \\
& \operatorname{FIXED}=\{(\neg \mathbf{a}),(\mathbf{b})\}
\end{aligned}
\]

Current: \((\neg b) \quad \tau^{*}(\neg b)=0\) \(\operatorname{SATSOLVE}\left(H \wedge \wedge_{c \in \operatorname{FIXED}} C \wedge(\neg b)\right)\)

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\(S=\{(\neg \mathbf{c}),(\neg d),(\neg e), \ldots\}\)
\(\mathrm{UB}=6\)
\(\tau^{*}=\{S, b, g, f, e, l, k, G, \neg a, \neg c, \neg d, \ldots, \neg q\}\)
\(\operatorname{cost}\left(\tau^{*}\right)=6\)
\(\mathrm{FIXED}=\{(\neg a),(b)\}\)

Current: \((\neg c) \quad \tau^{*}(\neg c)=0\)

\section*{SLS with a SAT solver}

\section*{Nadel [2018, 2019]}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.
\begin{tabular}{|c|c|c|c|c|}
\hline\(n\) & \(o\) & & \(p\) & \(q\) \\
\hline\(h\) & \(i\) & \(j\) & \(k\) & \(G\) \\
\hline\(c\) & \(d\) & \(e\) & & \(r\) \\
\hline\(a\) & & \(f\) & & \(t\) \\
\hline\(s\) & \(b\) & \(g\) & \(m\) & \(u\) \\
\hline
\end{tabular}
\(S=\{(\neg \mathbf{c}),(\neg d),(\neg e), \ldots\}\)
\(\mathrm{UB}=6\)
\(\tau^{*}=\{S, b, g, f, e, l, k, G, \neg a, \neg c, \neg d, \ldots, \neg q\}\)
\(\operatorname{cost}\left(\tau^{*}\right)=6\)
\(\operatorname{FIXED}=\{(\neg \mathbf{a}),(\mathbf{b}),(\neg \mathbf{c})\}\)

Current: \((\neg c) \quad \tau^{*}(\neg c)=0\)

\section*{SLS with a SAT solver}

\section*{Intuition}
1. Obtain any solution \(\tau^{*}\)
2. Improve \(\tau^{*}\) by enforcing the satisfaction of an increasing subset of soft clauses.

Further improvements by more sophisticated ways of ordering soft clauses
State-of-the-art performance on weighted instances

\section*{Incomplete MaxSAT}

\author{
Summary
}
- Incomplete MaxSAT solving seeks to address scalability without sacrificing solution quality (too much)
- Several different approaches developed in recent years
- Orthogonal performance on different domains.
- Best solvers combine several different algorithms

\section*{Incomplete MaxSAT}

\author{
Summary
}
- Incomplete MaxSAT solving seeks to address scalability without sacrificing solution quality (too much)
- Several different approaches developed in recent years
- Orthogonal performance on different domains.
- Best solvers combine several different algorithms

Take Home Message - Which solver to choose?
Short answer: Depends on the domain.
Longer answer (in 2021): Try TT-Open-WBO-Inc for weighted and SATLike (2020 version) or Loandra for unweighted.

\section*{Summary}

\section*{MaxSAT}
- Low-level constraint language: weighted Boolean combinations of binary variables
- Gives tight control over how exactly to encode problem
- Exact optimization: provably optimal solutions
- MaxSAT solvers:
- build on top of highly efficient SAT solver technology
- various alternative approaches: branch-and-bound, model-improving, core-guided, IHS, ...
- standard WCNF input format
- yearly MAxSAT solver evaluations

\section*{Success of MaxSAT}
- Attractive alternative to other constrained optimization paradigms
- Number of applications increasing
- Solver technology improving rapidly

\section*{Further Reading and Links}

Talks at the Simons Institute
- Fahiem Bacchus on (complete) MaxSAT on April 1st.
- Jeremias on MaxSAT preprocessing on May 5th.

\section*{Surveys}
- "Maximum Satisfiability" by Bacchus, Järvisalo \& Martins
- Chapter in forthcoming vol. 2 of Handbook of Satisfiability
- Preprint available.
- Somewhat older surveys:
- Handbook chapter on MaxSAT:
[Li and Manyà, 2009]
- Surveys on MaxSAT algorithms:
[Ansótegui, Bonet, and Levy, 2013]
[Morgado, Heras, Liffiton, Planes, and Marques-Silva, 2013]
MAxSAT Evaluations
https://maxsat-evaluations.github.io
Most recent report:
[Bacchus, Järvisalo, and Martins, 2019]

\section*{Thank you for attending!}

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